
FORM MFR

EXHIBIT 9

SAINT VINCENT AND THE GRENADINES

MUTUAL FUNDS REGULATIONS

CHAPTER 154 OF THE REVISED LAWS OF SAINT VINCENT AND THE GRENADINES, 2009

Application for Renewal of Certificate of Registration or Recognition

(Complete in Duplicate)

Every mutual fund applying to renew its certificate of registration or recognition under the Mutual Funds Act, as amended, shall furnish to the Registrar of Mutual Funds the following particulars. The Applicant shall also attach a certified or bank cheque for the applicable Annual Renewal Fee in the amount specified below, which fee shall be non-refundable and shall be for the issuance of the certificate and for the year ending 31 December of the year the certificate is renewed.

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|--|--|
| 1. Class of certificate – | Annual Renewal Fee (in U.S. dollars): |
| ☐ Public Fund | \$ |
| ☐ Private/Accredited Fund | \$ |
| Surcharge for Late Renewal | \$ (1/12th of Renewal Fee |
| | for each month, or part of each month, the Renewal application is filed past 15 January) |

Total Fees Enclosed \$

2. Name of mutual fund:

3. Address in the State of its place of business:

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4. Name and address of its registered agent in the State:

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5. State, or attach a description of, any material changes from the information provided on the mutual fund's Application for Certificate of Registration of a Public Fund or Application for Recognition as a Private or Accredited Fund or last Renewal Application for Renewal.

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The undersigned hereby confirms that he/she is authorised to execute this Renewal Application on behalf of the mutual fund for which application is made hereby, and that the information contained in this Renewal Application is true and accurate as of the date shown below.

Dated this day of, 20

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Name of Mutual Fund

By:

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Name of Officer executing

Title:

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