

FORM 10

SAINT VINCENT AND THE GRENADINES

THE REGISTERED AGENT AND TRUSTEE LICENSING ACT

(SECTION 18)

*Certificate of Compliance*

This certification is provided by the undersigned independent auditor on behalf of ....., the holder of a licence under the Registered Agent and Trustee Licensing Act, Chapter 105 of the Revised Laws of Saint Vincent and the Grenadines, 2009, (the "Act"), in the following particulars:

- ☐ The annual accounts of the licensee, which is engaged as a financial fiduciary, have been prepared as required by the Act. This certificate is unqualified.
- ☐ The annual accounts of the licensee, which is engaged as a financial fiduciary, have been prepared as required by the Act. This certificate is qualified in the following respects: .....

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- ☐ The information set forth in the Application for Licence, Application for Certificate of Exemption, Application for Renewal or Application for Extended Renewal, as modified by any Notice(s) of Change of Particulars duly filed with the Executive Director, remains correct and gives an accurate summary of the business of the licensee, with the following reservations: .....

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Dated the ..... day of ....., 20 .....

Auditor: .....

Address: .....

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By: .....

Its: .....

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