



**FINANCIAL SERVICES AUTHORITY
SAINT VINCENT & THE GRENADINES**

PERSONAL QUESTIONNAIRE FOR PENSION PLAN TRUSTEES

(To be completed by every individual trustee or in the case of a corporate trustee, its duly authorized representatives of its directorship and management of pension fund plans registered under the Insurance Act, Chapter 306 of the Laws of St. Vincent & the Grenadines, Revised Edition 2009).

Please make copies of this form for the number of Trustees.

Trustee's details- general information on the trustee

1. Full name.....
2. Name of Pension Fund Plan in connection with which this questionnaire is being completed
3. Private address
4. Business address
5. Email address
6. Date of birth 7. Country of birth.....
8. Nationality 9. Citizenship....
10. Occupation
11. Name of Employer

Fit and Proper Assessment

12. Professional training *(including details of any pension and related courses)*.

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13. Work experience (**Please attach an up-to-date CV setting out your complete employment history and include two recent professional references in respect of your trustee work).**

CV provided	☐
Two references provided	☐

14. Have you at any time been charged with, convicted of any offence, or otherwise found liable (other than a minor traffic offence) by any court whether civil, criminal or military? **If yes, give details of the charge, and if convicted, the date of conviction and full particulars of the offence and the penalty imposed.**

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15. Has any suit ever been brought against you in your personal capacity or against any body corporate, partnership, society or any other business undertaking to which you are/were connected as a shareholder, partner, director or manager? **If yes, give details.**

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Please attach a recent (not older than six months) Police Record.

Police Record provided	☐
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16. Have you been the subject of investigation/disciplinary procedures, censured, disciplined or publicly criticized by any professional body to which you belong or belonged or refused entry to any profession or vocation? **If yes, give particulars.**

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17. Have you ever been dismissed or requested to resign from any office or employment? **If yes, give particulars.**

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18. In connection with the formation or management of any corporation, have you ever been adjudged by a court civilly or criminally liable for any fraud, misfeasance or other misconduct towards that corporation or any member of the corporation? **If yes, give particulars.**

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19. In connection with the formation or management of any corporation have you been disqualified by a court from being a director or from acting in the management or conduct of the affairs of any corporation? **If yes, give particulars.**

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20. Have you ever been a director of, or directly concerned with the management of any financial institution.

(a) that has been wound up by a court?

(b) the licence of which has been revoked, withdrawn or terminated?

(c) which has been placed in receivership?

(d) which has entered into a composition with its creditors?

(e) whose business had been adjudged by a court to have conducted imprudently or fraudulently?

(f) which has gone into insolvency, liquidation or administration?

If yes, give particulars

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Declaration

I, have

supplied the above information and declare that the answers to the above questions are true and correct.

Date

Signature.....